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# Subcritical water and dilute acid pretreatments for bioethanol production from *Melaleuca leucadendron* shedding bark



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#### ABSTRACT

The feasibility of bioethanol production using the lignocellulose of the shedding bark of *Melaleuca leuca-dendron* (Paper bark tree) was investigated. The effects of pretreatment parameters (temperature, time and acid concentration) on the yields of sugars and inhibitors, and optimal pretreatment conditions were determined. At very low severity conditions (combined severity factor, CSF  $\leq$  0.335), 28% of xylan was recovered and this recovery increased with increasing CSF till it peaked to 64.4% (11.2 g xylose L<sup>-1</sup>) at a CSF of 1.475. However, at CSF > 2.0, xylose yield declined due to degradation. Mild and progressive glucose yield was detected in prehydrolysate at CSF  $\geq$  1.514, and subsequent enzymatic hydrolysis allowed complete glucan solubilization. Implementing environmentally friendly subcritical water pretreatment at CSF  $\leq$  0.335 on the shedding bark, about 85% of glucan solubilization was achieved after enzymatic hydrolysis. An industrial *Saccharomyces crevisiae* strain readily fermented crude hydrolysate within 12 h, yielding 24.7 g L<sup>-1</sup> ethanol at an inoculum size of 2% (v/v), representing a glucose to ethanol conversion rate of 0.475 g g<sup>-1</sup> (91% ethanol yield). Based on our findings, the shedding bark is a potential feedstock for bio-ethanol production.

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#### 1. Introduction

Bioethanol has the potential as a supplement and/or replacement for gasoline. Currently most bioethanol is produced from starch and sugar producing crops. However, non-food plant sources like lignocellulosic biomass are far more abundant and cheaper. Among available lignocelluloses, wood and agricultural residues which have the advantage of being widely available are one of the better feedstock options, partly due to their higher cellulose content and compositional uniformity. Moreover, tree possesses a lignocellulosic energy conversion factor of 16 (compared to 1 and 8 for corn and sugarcane, respectively), and can be grown on marginal land, thereby minimizing encroachment on land for growing food crops [1]. Thus the conversion of lignocellulosic biomass to fuel offers potential economical and environmental advantage.

Lignocellulose consists of lignin (15–30%), and carbohydrates such as cellulose (41–53%) and hemicelluloses (14–35%), and minor components like proteins, ash, salt and minerals [2,3]. The challenge in using trees bark or hardwoods as a feedstock is the difficulty associated with liberating cellulose from its lignin seal, which is by far the most costly step of lignocellulose utilization, strongly affecting success and feasibility of prior and subsequent operations [4]. Although pretreatment is costly, it costs more without pretreatment [5]. Depending on specific pretreatment, different effects may be observed on the substrate that can all contribute to improving hydrolysis. Some of these effects are: removal of some or all of the lignin which causes increased porosity in the substrate [6]; disruption of the lignin structure and its linkages with the rest of biomass; removal of hemicellulose that hampers access of cellulase to cellulose; disruption of the hemicellulose structure; reduction in the crystallinity of cellulose; reduction in the degree of polymerization of cellulose and reduction in the size of particles [7]. The current choice of pretreatment method for lignocellulose material for ethanol production is dilute acid hydrolysis at moderate to high temperatures [8] and subcritical water (SCW) treatment [9,10]. SCW is defined as hot water at temperatures ranging between 100 and 374 °C under high pressure to maintain water in liquid state. It has been widely used for hydrolyzing organic compounds. Recently growing attention has led to extensive research activities using SCW for hydrolysis and conversion of bio-mass and carbohydrates to useful compounds [11–15]. On the other hand, dilute acid pretreatment predominantly solubilizes the hemicellulose fraction and disrupts the crystalline structure of cellulose fibrils, which favors fast enzymatic hydrolysis [16]. However, acid pretreatment

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can result in the formation of polysaccharide degradation products that are often inhibitory to downstream fermentation organisms and lower the overall sugar yields [17,18]. In addition the performance of different pretreatments may vary with feedstock types hence it is important to study the effect of pretreatment on potential lignocellulose biomass.

*Melaleuca leucadendron* (Paper-bark Tree, PBT) is a native Australian tree and now grown worldwide. The tree has grayish white, layered bark that is continually shed, exposing the whiter inner part. The bark feels soft like sponge. It has high adaptability and can grow well in poor soil, dry or submerged environments, and resists pests and pollution. To the best of our knowledge, there is no report on bioethanol production from the pretreated shedding bark of PBT. The objective of this study was to produce ethanol from pretreated PBT shedding barks. The study can be summarized into three parts: (1) to investigate the effects of pretreatments variables (acid concentration, temperature and time) on sugar release, inhibitors generation and morphology of biomass. (2) To understand the effect of pretreatment on the enzymatic saccharification of the biomass; and (3) to evaluate the fermentation potential of sugar containing hydrolysates for bioethanol production.

#### 2. Materials and methods

#### 2.1. Materials

Shedding bark of PBT was collected from experimental farm of National Taiwan University, Da'an District, Taipei, Taiwan. The location of the farm is N25°00'59.40"; E121°32'25.1". The air dried bark was ground by a blender and passed through a sieve with mesh no. 60. *Cellulases* and Novozyme 188 (from *Aspergillus niger*) with an activity of 0.3 U mg<sup>-1</sup> and 250 IU mL<sup>-1</sup>, respectively were purchased from Sigma company.

#### 2.2. Experimental design and Statistical analysis

A 4 × 3 × 3 factorial design was implemented for evaluating the effects of three pretreatment parameters: dilute sulfuric acid concentration (0%, 0.5%, 1%, and 2%, v/v), pretreatment temperature (120, 140 and 160 °C) and pretreatment time (15, 30 and 60 min) on yield of sugars and inhibitors and to identify optimal pretreatment conditions. A sulfuric acid concentration of 0% refers to subcritical water (SCW) pretreatment. The four levels of acid concentration ( $X_1$ ) were represented by 0, 1, 2 and 3, and the three levels of temperature ( $X_2$ ) and time ( $X_3$ ) each were represented by 0, 1 and 2. A total of 36 runs were done and an overall experimental design is summarized in Table 1. Pretreatment values were chosen on the basis of previous works carried out on other lignocellulosic biomass [19,20] with slight modification. The statistical significance difference among experimental result was checked by using a *t*-test with the level of significance *P*<0.05.

For the purpose of pretreatment optimization, the concentration  $(gL^{-1})$  of glucose, xylose, HMF and furfural of the prehydrolysate were the responses. Regression analysis was performed to estimate the effect of independent variables (acid concentration, temperature and time) on the responses by using statistical software of Minitab-16. Each response was tested for possible linear, quadratic and cubic models to find out the best fitting model. Cubic model was used to calculate regression coefficients for xylose, and full quadratic polynomial model was used for HMF, furfural and glucose. Backward elimination strategy was used to eliminate insignificant terms from the model. Significance of each model term was determined with analysis of variance (ANOVA). The fit of the models were evaluated by the value of  $R^2$ . Contours were plotted based on the final equation in order to find out interaction and to determine optimum level of each variable for pretreatment.

#### 2.3. Pretreatment

Bark sample at a solid loading of 10% (g mL<sup>-1</sup>) was soaked in sulfuric acid (0%, 0.5%, 1% or 2%, v/v). There are three main parts in the equipment for pretreatment: reactor, heater and control devices. The reactor is made of stainless steel with a total inner volume of about 200 mL. It is 25 mm thick and can withstand an estimated maximum operation pressure of 100 MPa. The reactor is equipped with a thermocouple and a pressure gauge. The process was run under batch mode. For subcritical treatment, nitrogen gas (99.9% purity) purchased from Dong-Xing Company (Taiwan) was used to maintain constant pressure (10 bar) in the reactor.

In SCW pretreatment, after a predetermined time (15, 30 or 60 min), subcritical condition was terminated immediately by venting vapor in the reactor. The reactor was rapidly cooled to room temperature and the slurry collected from the reactor was immediately filtered. The filtrate was stored at 4 °C for further analysis of its monomeric sugar (glucose, xylose) and inhibitors (HMF and Furfural) contents. The collected solid was washed with deionized (DI) water and kept at 4 °C.

#### 2.4. Combined severity factor

The combined severity parameter facilitates comparison of a broad range of data by coupling the reaction conditions of time, temperature, and acid concentration into a single variable. The severity factor,  $R_0$ , is defined as [21]:

$$R_{\rm o} = t \, \exp\left[\frac{(T_{\rm H} - T_{\rm R})}{14.75}\right]$$

where *t* is pretreatment time in min,  $T_{\rm H}$  is the pretreatment temperature in °C, and  $T_{\rm R}$  is a reference temperature, most often 100 °C. To include the effect of dilute acid, the combined severity factor (CSF) has been used [22]:

$$\log \text{CSF} = \log R_0 - \text{pH}$$

In this study, CSF of pretreatment varied from -1.515 to 2.885 (Table 1).

#### 2.5. Scanning electron microscope (SEM) analysis

Structural differences in the morphology of PBT bark before and after pretreatment were examined by using a JEOL JSM-6390LV SEM [23]. The pretreated solid specimen were dehydrated using a freeze dryer (LABCONCO, 2.5 Free Zone, USA) since lyophilization preserves morphology [24]. Prior to imaging, specimens were mounted on a conductive tape and coated with platinum using a JEOL JFC-1300 auto fine coater to make the fibers conductive, and to avoid buildup of charge on the specimen. Imaging was done using a voltage of 10 kV at 500× magnification.

#### 2.6. Enzymatic saccharification

Enzyme isodose saccharifications were done for all solid residues recovered from SCW and acid pretreatments after adjusted to pH 4.8 using Ca(OH)<sub>2</sub>. A mixture of cellulase and  $\beta$ -glucosidase (Novozyme 188 from *A. niger*) were used at 50 °C for 72 h in a water bath shaker (200 rpm).  $\beta$ -Glucosidase was used to supplement the insufficient  $\beta$ -glucosidase activity in cellulases. Sodium citrate buffer was used to maintain mixture pH at 4.8. The enzyme loadings were: Cellulases 33 FPU,  $\beta$ -glucosidase 66 CBU g<sup>-1</sup> pretreated dry biomass. Hydrolysis was performed at 50 °C on a rotating wheel at 200 rpm for 72 h. The hydrolysate samples were centrifuged (3500 × g, 5 min), filtered and stored at -20 °C.

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Table 1

Experimental design of PBT shedding bark pretreatment with code values of the parameters, and associated combined severity factor, CSF.

No.	Pretreatment parameters			Code values <sup>a</sup>			рН	CSF
	H <sub>2</sub> SO <sub>4</sub> (%, v/v)	Temp. (°C)	Time (min)	$\overline{X_1}$	<i>X</i> <sub>2</sub>	X3		
1	0	120	15	0	0	0	3.28	-1.515
2	0	120	30	0	0	1	3.26	-1.194
3	0	120	60	0	0	2	3.22	-0.853
4	0	140	15	0	1	0	3.2	-0.816
5	0	140	30	0	1	1	3.15	-0.495
6	0	140	60	0	1	2	3.17	-0.244
7	0	160	15	0	2	0	3.25	-0.307
8	0	160	30	0	2	1	3.26	0.0004
9	0	160	60	0	2	2	3.25	0.335
10	0.5	120	15	1	0	0	0.98	0.78
11	0.5	120	30	1	0	1	1.05	1.016
12	0.5	120	60	1	0	2	1.02	1.347
13	0.5	140	15	1	1	0	1.03	1.324
14	0.5	140	30	1	1	1	1.17	1.475
15	0.5	140	60	1	1	2	1.08	1.8759
16	0.5	160	15	1	2	0	1.02	1.923
17	0.5	160	30	1	2	1	1.19	2.074
18	0.5	160	60	1	2	2	1.17	2.355
19	1	120	15	2	0	0	0.87	0.895
20	1	120	30	2	0	1	0.9	1.146
21	1	120	60	2	0	2	0.89	1.477
22	1	140	15	2	1	0	0.84	1.514
23	1	140	30	2	1	1	0.86	1.795
24	1	140	60	2	1	2	0.93	2.016
25	1	160	15	2	2	0	0.82	2.123
26	1	160	30	2	2	1	0.81	2.434
27	1	160	60	2	2	2	0.95	2.595
28	2	120	15	3	0	0	0.7	1.065
29	2	120	30	3	0	1	0.69	1.406
30	2	120	60	3	0	2	0.66	1.707
31	2	140	15	3	1	0	0.77	1.584
32	2	140	30	3	1	1	0.72	1.935
33	2	140	60	3	1	2	0.67	2.286
34	2	160	15	3	2	0	0.72	2.223
35	2	160	30	3	2	1	0.7	2.584
36	2	160	60	3	2	2	0.66	2.885

<sup>a</sup> Code represent H<sub>2</sub>SO<sub>4</sub> is X<sub>1</sub>; temperature is X<sub>2</sub> and time is X<sub>3</sub>. Code values represent by 0 is low level, 3 is high level for X<sub>1</sub>. And 0 is low level, 1 is central level, 2 is high level for X<sub>2</sub> and X<sub>3</sub>.

#### 2.7. Composition analysis

Specific structural carbohydrates and lignin composition of air dried native PBT shedding bark sample were determined using the standard NREL method as described by Sluiter et al. [25]. Likewise the ash and moisture contents were determined and a two-step extraction process was performed to quantify extractives using NREL procedure [26].

The contents of glucose and xylose in the filtrate from pretreatment and hydrolysate were determined by using a high performance liquid chromatography, HPLC (Jasco, Japan) equipped with Jasco 830-RI Intelligent RI detector and a Cosmosil sugar-D column (4.6 mm I.D.  $\times$  250 mm). Acetonitrile: water (80:20, v/v) was used as the mobile phase at a flow rate of 1.0 mL min<sup>-1</sup>. The concentrations of 5-hydroxymethylfurfural (HMF) and furfural were analyzed by HPLC (Jasco, Japan) equipped with a PU-2089 pump, a degasser, an UV-2077 detector and a Luna C-18 column (5 µm particle size,  $250 \text{ mm} \times 4.6 \text{ mm}$ , Phenomenex, USA). The sample was diluted appropriately with deionized water, filtered through a 0.22 µm PVDF syringe filter (Testhigh) and then injected into the column under the conditions: 25 °C column temperature, mobile phase acetonitrile: water: acetic acid (11:88:1, v/v/v) with a flow rate of 1 mL min  $^{-1},$  25  $\mu L$  injection volume and 276 nm absorption wavelength. Finally, the concentrations of D-glucose, D-xylose, furfural and HMF was calculated by using calibration curves obtained from standard D-glucose, D-xylose, furfural and HMF solutions, respectively. Ethanol content was determined by gas chromatography (GC-14B, Shimadzu, Japan) with a flame ionization detector after the sample was centrifuged at  $13,000 \times g$  and the supernatant was filtered using 0.22 µm PES membrane filters (Pall, USA). Other conditions of operation were: nitrogen as mobile phase (30 ml min<sup>-1</sup>), column temperature 40 °C, injector temperature 200 °C and injection volume 1 µL. The concentrations of ethanol were calculated based on elution time and peak areas of known concentration of ethanol. Separations were carried out on a column stabilwax<sup>®</sup> – DA (fused silica, polar phase; crossbond<sup>®</sup> carbowax<sup>®</sup> polyethylene glycol).

#### 2.8. Fermentation

Ethanol Red<sup>®</sup> Saccharomyces cerevisiae was used in the fermentation studies and was routinely cultured at 30°C on YPD agar plates (20 g  $L^{-1}$  glucose, 20 g  $L^{-1}$  peptone, 10 g  $L^{-1}$  yeast extract and 16 g L<sup>-1</sup> agar). Hydrolysate obtained from PBT bark at various CSF was combined and adjusted to pH 5.05 using Ca (OH)<sub>2</sub> and glucose concentration was adjusted to 52 g L<sup>-1</sup>. Any un-hydrolyzed fiber and gypsum (CaSO<sub>4</sub>) was removed by filtration  $(0.22 \,\mu\text{m})$ prior to use. Inoculums for yeast shake-flask studies were prepared by selecting a single colony from YPD culture plates and inoculating into 40 mL pre-seed medium. The media consisted of 20 mL YPD broth, 20 mL filter-sterilized hydrolysate containing  $2 g L^{-1}$  $KH_2PO_4$ , 5 g L<sup>-1</sup> yeast extract, 10 g L<sup>-1</sup> peptone and 1 g L<sup>-1</sup> MgSO<sub>4</sub> at pH 5.05. After incubation at 30 °C for 24 h, the optical density (OD 660) reading of the seed culture reached between 0.8 and 1.0. Aliquots (1%, 2%, 5% and 10%, v/v) were used to inoculate the main fermentation medium independently. The yeast fermentation



**Fig. 1.** Xylose and glucose composition in PBT shedding bark prehydrolysates (10%, w/v solid load) presented as a function of CSF.

media consisted of filter-sterilized hydrolysate containing  $2 \text{ g L}^{-1}$  KH<sub>2</sub>PO<sub>4</sub>,  $5 \text{ g L}^{-1}$  yeast extract,  $10 \text{ g L}^{-1}$  peptone and  $1 \text{ g L}^{-1}$  MgSO<sub>4</sub>. The pH was 5.05. Fermentations were conducted with a working volume of 100 mL and were incubated at 30 °C with slow agitation. Samples were taken at regular time intervals for measurement of biomass as well as glucose and ethanol concentrations.

#### 3. Results and discussion

# 3.1. Effect of pretreatment on composition of hydrolysate and biomass morphology

#### 3.1.1. Effect of pretreatment on xylan dissolution

The yields of sugars for both SCW and acid pretreated hydrolysates (prehydrolysates) are shown in Fig. 1. For SCW pretreatment at very low CSF (-1.515 to -0.853), little xylose (<5% xylan) was recovered in the prehydrolysates, while as CSF was increased to 0.0004, the xylose yield reached  $5.0 \text{ gL}^{-1}$ , which is about 28% of available xylan (Table 2). This does not reflect poor solublization of PBT xylan. Previous studies on using compressed hot water for pretreatment of lignocelluloses biomass from different sources indicated that the solubilized hemicelluloses appeared mainly in oligomeric form [27-31]. Our result yielded >20% xylan dissolution in the absence of an acid catalyst (SCW) at a lower temperature (140-160 °C) in contrast to previous works using higher temperature (>180 °C) and compressed hot water [27,29]. Similarly when using dilute acid pretreatment at low CSF (0.78-1.347), little xylose was obtained (<5% xylan), which is less than the maximum recovery obtained from SCW pretreatment at a CSF of 0.0004 (Fig. 1). This unusual event indicates that the main factor among

#### Table 2

#### Chemical compositions of the native PBT shedding bark.

Component	Composition <sup>a</sup>
Glucan	47.2
Xylan	17.4
Galactan	ND
Extractives	9.2
Ash	1.14
Moisture	5.37
Acid insoluble lignin	17.6
Acid soluble lignin	1.53

ND: not detected.

<sup>a</sup> Percent composition on dry-weight basis.

pretreatment variables in CSF was temperature. Xylose recovery increased with increasing CSF and peaked at a CSF of 1.475, with a value of  $11.2 \,\mathrm{g}\,\mathrm{L}^{-1}$ , which is 64.4% of xylan. However, at CSF > 2.0, xylose yield declined, presumably due to degradation. Hence dilute acid had better effect on xylan solubilization in comparison with the SCW for PBT bark. Carolina et al., [16] reported a comparison of pretreatment methods and the report indicate that dilute acid had better effect on solubilization of hemicelluloses than compressed hot water pretreatment.

During SCW pretreatment, temperature had the greatest impact (p=0.002) on xylose recovery among the pretreatment variables. An increase in temperature from 120 to 160°C was accompanied with an increase in xylose yield from 0.21 g L<sup>-1</sup> to  $5.0 \,\mathrm{g}\,\mathrm{L}^{-1}$  in the prehydrolysate while less effect was observed for reaction time (p = 0.046). Similarly, at low H<sub>2</sub>SO<sub>4</sub> concentration (0.5%), comparable effect of temperature was observed from 120 to 140 °C. Further rise to 160 °C reduced xylose recovery drastically. At 1% and 2% H<sub>2</sub>SO<sub>4</sub>, temperature was still the most determinant factor (p < 0.01) on xylose yield with optimum values at 140 and 120 °C, respectively. Using 1% H<sub>2</sub>SO<sub>4</sub> at 140 °C, significantly higher (p < 0.01) xylose yield (8.3 g L<sup>-1</sup>) was obtained after 30 min pretreatment compared to 1.92 g L<sup>-1</sup> at 15 min. Further increasing reaction time to 60 min resulted in xylose degradation (about 2.65 fold loss). At the most acidic condition (2% H<sub>2</sub>SO<sub>4</sub>), reaction time became a more important factor at both extreme ends of temperature (120 and 160°C). At 120°C, xylose yield sharply increased from 1.72 to 10.8 gL<sup>-1</sup> as reaction time progressed from 15 to 60 min. However, extending reaction time from 30 to 60 min at 160 °C significantly (p < 0.05) reduced the xylose yields. Indeed, pretreating PBT samples under extreme conditions (2% H<sub>2</sub>SO<sub>4</sub>, 160 °C, 60 min) showed complete degradation of xylose. High xylose yields were achieved when the pretreatment took place using 2% H<sub>2</sub>SO<sub>4</sub> at 120 °C for 60 min or 2% H<sub>2</sub>SO<sub>4</sub> at 140 °C for 15 min. For the lowest  $H_2SO_4$  concentration (0.5%), moderate temperature (140°C) and 30 min hydrolysis time resulted higher xylose release with minor degradation.

#### 3.1.2. Effect of pretreatment on glucan dissolution

Glucose yield increased with increasing CSF, which is an indication of cellulose disruption. These trends were observed in several previous lignocellulosic biomass pretreatment studies [32-34]. Glucan depolymerization apparently resulted in the release of monomeric glucose. Subcritical water prehydrolysate (CSF  $\leq$  0.335) was free from monomeric glucose hence complete glucan recovery in pretreated solid residue was possible; however an increase in CSF was accompanied by an increase in glucose release. At a CSF of 1.475, where xylose yield peaked, significant glucan depolymerization was witnessed; with a glucose recovery of  $3.36 \,\mathrm{g}\,\mathrm{L}^{-1}$ , which is about 7.15% of the available glucan. Glucose release kept increasing with increasing CSF. At a CSF of ~2.123, no xylose was detected while glucose yield reached 9.25 gL<sup>-1</sup>. Similar to xylan solublization, temperature had the greatest impact (p=0.001) on glucan depolymerisation followed by reaction time and acid strength. For instance, at 0.5% H<sub>2</sub>SO<sub>4</sub> (pH 1.1) and 30 min, the glucose released increased by 5.15-fold to 24.8% of the total glucan when temperature was raised from 140 (CSF=1.475) to 160 °C (CSF=2.074). A notable difference between solubilisation of the xylan and glucan fractions was the fact that the latter did not reach its potential maximum under the study conditions (Fig. 1). This glucan depolymerisation during pretreatment of PBT shedding bark requires further investigation. Söderström et al. [35] reported that up to 40% cellulose hydrolysis was possible for softwoods at high CSF (3.1-3.2), albeit at the expense of pentose sugars and accumulation of degradation products (furfural, formic acid). Moreover they reported that at CSF > 3.2, glucose yield dramatically declined through degradation to HMF and levulinic acid. From results in I.N. Ahmed et al. / Biochemical Engineering Journal 78 (2013) 44-52



Fig. 2. Furfural and HMF composition in PBT shedding bark prehydrolysates (10%, w/v solid load) presented as a function of CSF.

glucose release, it was observed that temperature had the most pronounced effect (p < 0.01) on glucose yield when implementing SCW or the most dilute acid pretreatment. However, reaction time had dominant effect (p < 0.01) at 2% H<sub>2</sub>SO<sub>4</sub>. The degrading effect of temperature on glucose was not significant at the most acidic condition used in this study (2% H<sub>2</sub>SO<sub>4</sub>), unlike in the case of xylose.

#### 3.1.3. Effect of pretreatment on inhibitors accumulation

During acid pretreatments, various inhibitors may be formed, such as phenolics, furfural and HMF [36,37]. These inhibitory compounds originate from the release and subsequent degradation of carbohydrate and lignin. Formation of these compounds is directly proportional to pretreatment severity [38]. In Fig. 2, the formation of furfural and HMF, the two major degradation products of pentose and hexose sugars, is presented as a function of CSF. SCW prehydrolysate was free of detectable inhibitors or negligible accumulation of furfural at its highest CSF, while in dilute acid prehydrolysate the accumulation of furfural and HMF increased with increasing CSF. Treatment conditions which increased low level accumulation of furfural strongly correlated to conditions associated with the progression of xylose loss (CSF > 1.75). In contrast, the appearance of low level of HMF does not appear to correlate to any glucose loss during pretreatment but presumably results from the degradation of minor hexose sugars associated with the hemicelluloses fraction. These results support similar observations in the pretreatment of both hard and softwoods [32,35,39].

#### 3.1.4. Modeling fit

The data of sugars and inhibitors were successfully modeled using cubic and quadratic polynomial multiple regression equations, which define predicted responses in terms of the independent variables:

$$\begin{aligned} \text{Xylose} &= 0.03 + 33.47X_1 - 1.173X_2 + 0.019X_3 - 21.16X_2^2 \\ &\quad -0.14X_1X_2 + 7.21X_1^3 - 5.6X_2^3 \end{aligned}$$

 $Glucose = 5.85 + 2.23X_1 + 3.27X_2 + 0.8X_3 - 3.11X_1^2 + 0.097X_2^2$  $-0.5X_2^2 + 1.29X_1X_2 + 0.74X_1X_3 - 0.09X_2X_3$ 

$$\begin{split} HMF &= 0.017 + 0.0093X_1 + 0.0192X_2 + 0.006X_3 - 0.0115X_1^2 \\ &\quad + 0.011X_2^2 - 0.003X_3^2 + 0.008X_1X_2 + 0.0042X_1X_3 \\ &\quad + 0.0053X_2X_3 \end{split}$$

 Table 3

 ANOVA table of the adjusted models from SCW and dilute sulfuric acid pretreated PBT shedding bark.

Source	Sum of squares	DF	F value	P-value
Xvlose				
Model	561.095	8	11.52	0.0000
Residual	47.6	28	_	_
$R^2$	0.9593	-	-	-
Classes				
Glucose	410.05	0	14.01	0.0001
Model	416.65	9	14.01	0.0001
Residual	34.8	26	-	-
$R^2$	0.9882	-	-	-
HMF				
Model	128.44	9	11.62	0.0001
Residual	31.93	26	_	_
$R^2$	0.9721	_	-	_
Furfural				
Model	539.5	9	11.89	0.0001
Residual	31.1	26	-	-
R <sup>2</sup>	0.9910	-	-	-

 $Furfural = 0.0212 + 0.0064X_1 + 0.0125X_2 + 0.004X_3 - 0.0097X_1^2$ 

$$+0.0023X_2^2 - 0.005X_3^2 + 0.004X_1X_2 + 0.0026X_1X_3$$

$$+0.0022X_2X_3$$

where,  $X_1$  is acid concentration,  $X_2$  is temperature,  $X_3$  is time.

The regression equations obtained from ANOVA ensured a satisfactory adjustment of the theoretical values to the experimental data (Table 3). The proportion of total variation attributed to each fit can be evaluated by the value of  $R^2$  and Chauhan and Gupta [40] reported a value of  $R^2 > 0.75$  which indicates the aptness of the model. The relationship between responses (sugars and inhibitors yield) and variables is visualized by the contour plot (Supplementary Material). The plots present the polynomial models which showed the response of the factors varied within their experimental range and holding the third factor at fixed center level. The contour plot of xylose and furfural (Fig. S1) shows that, at 1% H<sub>2</sub>SO<sub>4</sub> concentration (fixed at center level) xylan conversion to xylose is most favored at a pretreatment temperature of 137 °C and a reaction time of 37 min, but under harsher conditions (>150 °C, and >50 min) xylose conversion to furfural began to dominate. Similarly the xylose yield plot of pretreatment temperature and acid concentration when the time was kept at central value clearly shows that, the interaction of these two variables had a significant effect on response of xylose. In general the optimum condition for monomeric xylose is at moderate temperature (135-140 °C) and acidity (0.5–1% H<sub>2</sub>SO<sub>4</sub>). If implementing higher acidity (>1.5%  $H_2SO_4$ ) it is important to use lower temperature (<130 °C) for higher xylose yield. However applying of simultaneously higher temperature (>150 °C) and higher acidity (>1.5%  $H_2SO_4$ ) resulted in an increase of furfural accumulation. On the other hand, the response contour plots of glucose (Fig. S2) show that its solubility in prehydrolysis liquor depends on pretreatment severity, and did not reach its potential maximum in the model as also observed in the experiment. Although glucose and HMF yields share contour region, however more severity favored HMF accumulation. In general the optimum pretreatment condition of PBT shedding bark, which is characterized by high dissolution of xylan and low loss of glucan, was found to be 135–140 °C, 30–40 min and 1% acid concentration.

#### 3.1.5. Effect of pretreatment on morphology of biomass

SEM micrographs of native and pretreated PBT shedding bark sample are shown in Fig. 3. The native sample displayed a well-separated macrofibrils with smooth surface and length and diameter of  $150-300 \,\mu\text{m}$  and  $5-10 \,\mu\text{m}$ , respectively, indicating a

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Fig. 3. SEM images comparing the morphology of PBT shedding bark (A: native, B: pretreated at CSF –0.495, C: pretreated at CSF 1.795 and D: pretreated at CSF 1.935) samples at 500× and 10 kV.

highly ordered surface structure (Fig. 3A). The pretreated sample showed morphological changes on macrofibers (Fig. 3B-D). Higher pretreatment severity was accompanied with the reduction in macrofibrils size, agglomeration and exposed more internal areas in the biomass than the native one. After pretreatment at low CSF (-0.495), the macrofibrils are still well separated and their diameters are almost the same, but the lengths of macrofibrils dropped and lost the smooth surface (Fig. 3B). Under moderate severe condition (CSF=1.795), some agglomerate of macrofibrils appeared and their lengths are reduced and shrunken in size (Fig. 3C) which indicates depolymerization of the reactive cellulose region. Samir et al. [41] found out that under controlled conditions, hydrolysis may remove the amorphous region of cellulose fiber before crystalline region. At high severe condition (CSF = 1.935), agglomeration of macrofibrils increased greatly (Fig. 3D). Zhao et al. [42] proposed that when macrofibrils lose amorphous cellulose, the remaining microfibrils bundles have large surface potential, which could drive the agglomeration to lower the system energy. Alternatively, acid catalyzed intermolecular surface dehydration could also result in agglomerization. In general SEM shows that the long macrofibrils of PBT bark dissociated by the pretreatment were fine, and had smaller average size and more roughness and surface area than the untreated bark. Moreover, the smooth and contiguous surface of the original PBT bark was perforated by pretreatment. The porosity in pretreated fibrils greatly increased the enzyme-accessible surface area.

#### 3.2. Enzymatic hydrolysis of pretreated bark

Pretreatment typically leads to degradation of hemicelluloses into sugars (mostly xylose) and solid residues with modified surface morphology, which is more accessible to enzymatic hydrolysis. Although high conversion yield can be realized by applying high enzyme loading following biomass pretreatment, enzyme dose need to be significantly reduced to make a conversion process commercially attractive [43]. Thus pretreatment conditions and subsequent enzymatic hydrolysis must be optimized for maximum sugar release with minimum amount of enzyme. In this study, cellulase to  $\beta$ -glucosidase (Novozym 188) at a Filter Paper Unit (FPU): Cellobiase Unit (CBU) of 1:2 combinations ratio was implemented [44].  $\beta$ -Glucosidase was used to supplement the insufficient  $\beta$ -glucosidase activity in cellulases. The two enzymes cooperate in a synergistic fashion to degrade the substrate. The success of enzymatic hydrolysis generally depends in part on the pretreatment's capacity to remove cellulase-specific barriers [45]. To evaluate the effectiveness of pretreatment on cellulose to glucose conversion, pretreated slurries were subsequently used in trials with fixed enzyme activity dose. Excess enzyme combination loading (33 FPU and 66 CBU per g of pretreated dry biomass) and prolonged reaction time (72 h) was implemented to exclude the effect of enzyme and time limitation on sugar production.



**Fig. 4.** Glucose composition (g L<sup>-1</sup>) in hydrolysates obtained after PBT shedding bark pretreatment (10%, w/v solid load) and enzyme saccharification (50 °C; pH 5.2; 48 h) presented as a function of CSF.

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#### Table 4

Typical examples of the pretreatment conditions and the results obtained in this work and in related studies.

Biomass	Pretreatment	Prehydrolysate composition			Cellulase <sup>c</sup>	Hydrolysate glucose <sup>d</sup>	Reference	
		Xylose <sup>a</sup>	Glucose <sup>a</sup>	HMF <sup>b</sup>	Furfural <sup>b</sup>			
Salix, wood chips	Steam explosion at 200 °C, 0.25% H <sub>2</sub> SO <sub>4</sub>	36	4	0.4	1.8	15	81	[47]
Spruce, wood chips	Steam explosion at 200 °C, 2.5% SO <sub>2</sub>	-	17	0.55	0.31	15	50	[48]
Poplar	Organosolv at 180 °C, 1.25% H <sub>2</sub> SO <sub>4</sub> , 50% ethanol	50	1.2	0.45	0.1	20	82	[49]
Eucalyptus	Dilute acid 0.75% H <sub>2</sub> SO <sub>4</sub> at 160 °C	77.3	8.3	0.13	1.37	20	76	[50]
Paper bark tree	Dilute acid 0.5% H <sub>2</sub> SO <sub>4</sub> at 140 °C (CSF = 1.475)	64.4	7.17	< 0.01	0.015	33	92	This work
Paper bark tree	Subcritical water at 160 °C (CSF = 0.0004)	28	0.1	ND	< 0.01	33	85	This work

ND: not detected.

<sup>a</sup> Sugars dissolved (monomers only) in liquor during pretreatment, reported in weight percent (wt.%) of native biomass composition.

<sup>b</sup> Inhibitors concentration (gL<sup>-1</sup>) in liquor during pretreatment.

<sup>c</sup> FPU (filter paper unit) per g substrate.

<sup>d</sup> Enzymatic hydrolysis glucose yield; reported in weight percent (wt.%) of original glucose in wood.

Enzyme hydrolysis of SCW pretreated material at its least severity conditions (CSF from -1.515 to -0.853) yielded moderate conversion of available glucan, with maximum reaching about 52%  $(24.4 \text{ g} \text{ glucose } \text{L}^{-1})$ . These are better than the un-pretreated samples which yielded only 31% conversion. Lower CSF (-0.495 to -0.244) of SCW pretreated hydrolysate resulted in  $\ge$ 85% recovery of available glucan into glucose (Fig. 4). Similarly, dilute acid pretreatment enhanced enzymatic hydrolysis of cellulose and release of monomeric glucose. A close look at data from saccharification only shows that proximate results were obtained using SCW (CSF > -0.816) and dilute acid pretreatments. Implementing dilute acid pretreatment at  $CSF \ge 1.75$  the total recovered glucose reach a plateau of  $43-48 \text{ gL}^{-1}$ , which indicates complete solublization of available glucan in the biomass. The small difference among samples is attributed to the accumulation of inhibitors during pretreatment and unavailability of glucan.

For PBT shedding bark pretreated by dilute acid or SCW, although significant difference was observed in the removal of

hemicelluloses, equivalent amount of glucose was obtained after enzymatic hydrolysis. Therefore, SCW can be considered as a better alternative for pretreatment PBT shedding bark since it has advantages in environmentally friendly, free from inhibitors accumulation, and no need for detoxification of hydrolysate. Moreover SCW pretreatment sounds economically feasible and simple to implement when compared to other biomass pretreatment methods. For instance the typical organosolv pretreatment of woody biomass requires high temperature (160–190°C) and high ethanol concentration (40-60%) [46]. In organosolv pretreatment, despite good cellulose conversion, hemicelluloses recovery was low because of sugar decomposition at high temperatures in the presence of acid; hence it requires extensive detoxification due to the high concentration of inhibitors such as furfural and HMF (Table 4). Furthermore, complete solvent (ethanol) recovery is a critical issue in process economy. Similarly, the most commonly used steam pretreatment (acid catalyzed steam explosion) method also has drawbacks such as inhibitor accumulation, relatively



Fig. 5. Time courses of glucose consumption, ethanol and yeast biomass production at inoculums size of 1% (A), 2% (B), 5% (C) and 10% (D) (v/v) Saccharomyces cerevisiae.

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low sugar recovery especially when applied to softwood and energy-intensive (operation temperature above 200 °C). In general, SCW pretreatment is advantageous in that very low levels of fermentation inhibitors than those generated by organosolv, steam explosion and dilute acid pretreatments. Moreover the milder temperature (120–160 °C) used requires lower energy consumption and prevents glucan and xylan degradation, resulting in better monomeric sugar recovery (>85%) in saccharification. In addition, since no chemicals is required making SCW treatment an environmentally benign and economical approach.

#### 3.3. Hydrolysate fermentation

Identifying optimum inoculums size is important in reducing the production cost of cellulosic ethanol. In this study the fermentation of hydrolysate with various *S. cerevisiae* seed cultures was performed. The time courses of ethanol production, residual glucose and dry cell biomass during fermentation are shown in Fig. 5. At low inoculums sizes (1-5%, v/v), ethanol production rate in the early phase of culture was slow but rapidly increased after 5 h. This phenomenon is more pronounced at 1% inoculum. At high inoculum size (10%, v/v), fast glucose consumption and ethanol production were observed.

In the first 4h, both biomass and ethanol concentrations changed little since cells were adapting to the new environment. After that, biomass increased rapidly due to fast utilization of glucose and nitrogen. Between 6 and 10h sharp increases in biomass and ethanol were observed while glucose decreased rapidly. After 10h a gradual decrease in xylose concentration was observed (data not shown) with negligible change on ethanol concentration, which indicated that the yeast may consume xylose under glucose stress condition. Biomass concentration remained fairly constant after 12h and fermentation was almost completed after 12h.

The highest ethanol concentration was  $24.7 \text{ g L}^{-1}$ , corresponding to a conversion of  $0.475 \text{ g g}^{-1}$  glucose (91% ethanol yield) when using 2-5% (v/v) yeast inoculum size. At 1% inoculum, the yield was a bit lower (87%). In the case of the highest inoculums size (10%, v/v), glucose consuming and fermentation time was shorter (8 h), while conversion reduced to  $0.41 \text{ g g}^{-1}$  glucose (80.4% ethanol yield). Turhan et al. [51] reported that 3% (v/v) inoculum size was optimum for ethanol production from carob. Similarly, Sharma et al. [52] showed an inoculum level of 3% v/v as optimum for maximum ethanol production from sunflower hulls hydrolysate. A report by Fadel [53] showed that increasing inoculum size up to 4% increased production of ethanol from starchy industrial waste, which is also in agreement with this study.

#### 4. Conclusion

*M. leucadendron* shedding barks have higher glucan and xylan components. Although optimal conditions for glucan and xylan solubilzation were found to be different, significant glucose recovery was detected under moderate severity conditions (CSF  $\ge$  1.514). The optimum pretreatment conditions of PBT shedding bark, which is characterized by high dissolution of hemicellulose and low loss of cellulose, is at 1% (v/v) H<sub>2</sub>SO<sub>4</sub> acid concentration, 135–140 °C pretreatment temperature and 30–40 min pretreatment time. Implementing environmentally friendly subcritical water pretreatment at very low severity condition (CSF  $\le$  0.335) on PBT biomass, 85% of glucan solubilization can be achieved after enzymatic hydrolysis. Fermentation trials confirmed the feasibility to convert the hydrolysate into ethanol with high yield (91%) at lower inoculums, which implies paper bark tree shedding is a promising feedstock for bioethanol production.

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#### Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at http://dx.doi.org/10.1016/j.bej.2013.03.008.

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