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# Bioethanol production from pretreated *Melaleuca leucadendron* shedding bark – Simultaneous saccharification and fermentation at high solid loading



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## HIGHLIGHTS

• Paper bark tree shedding bark has higher glucan component.

• SCW at mild temperature is efficient for pretreatment of shedding bark.

• High ethanol production (63.2 g/L) was achieved at 0.25 g/mL solid loading.

• High ethanol (43.7 g/L) and yield (91.25%) were obtained at 0.15 g/mL solid loading.

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## ABSTRACT

Bioethanol production from the shedding bark of *Melaleuca leucadendron* (Paper-bark Tree, PBT) was studied using subcritical water (SCW) pretreatment at various severities ( $S_o$ ). High ethanol production was attained by implementing a factorial design on three parameters ( $S_o$ , solid loading and enzyme loading) in simultaneous saccharification and fermentation (SSF) mode. Ethanol concentration of 63.2 g L<sup>-1</sup> corresponding to ethanol yield of 80.9% were achieved from pretreated biomass ( $S_o = 2.37$ ) at 0.25 g mL<sup>-1</sup> solid and 16 FPU g<sup>-1</sup> glucan enzyme loadings. Similarly at 0.15 g mL<sup>-1</sup> solid loadings both high ethanol concentration (43.7 g L<sup>-1</sup>) and high ethanol yield (91.25%) were achieved. Regression analysis of experimental results shows that all process parameters had significant role on maximum ethanol production, glucose solubility, ethanol yield and ethanol volumetric productivity. SSF of SCW treated PBT biomass is economically feasible for production of bioethanol.

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1. Introduction

The world is facing sustaining high oil price and progressive depletion of non-renewable fuel resources, while energy consumption keeps growing. In addition, the intensive use of fossil fuels has led to increasing release of polluting gasses into the atmosphere, which has caused change in global climate. Increasing concerns about climate change and energy security have motivated the search for alternative energy (Valentine, 2011). The growing interest in gasoline substituting fuels has boosted bio-ethanol production worldwide from 12 to 19.5 billion gallons in the period of 2005–2009, with the USA and Brazil being the two largest producers representing 54% and 34% of total production, respectively (RFA, 2010). Ethanol use in 2011 reduced tailpipe CO<sub>2</sub>-equivalent emissions by 25.3 million metric tons. That is equivalent to the

emissions of 4 million vehicles. Moreover the most current measurement of ethanol's energy balance shows a positive 1.7–2.3 score, meaning ethanol is providing twice the energy it took to produce (RFA, 2012).

Most of the ethanol produced today is from starch and sugar producing crops (RFA, 2012). The use of this type of biomass has been increasingly debated due to its impact on food supply as well as for environmental reasons. Therefore, complex (lignocellulosic) biomass has been put forward as a feasible alternative due to its abundance in nature and the large quantities generated as waste from agricultural activities, its higher cellulose content and compositional uniformity. Moreover, tree possesses a lignocellulosic energy conversion factor of 16 (compared to 1 and 8 for corn and sugarcane, respectively), and can be grown on marginal land, thereby minimizing encroachment on land for growing food crops (Fenning et al., 2008). Thus the conversion of lignocellulosic biomass to fuel offers potential economical and environmental advantage. *Melaleuca leucadendron* (paper-bark tree, PBT) is easily

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recognized by its odd whitish bark, which splits and peels in many papery layers. The tree continually sheds its outer bark and exposes the whiter inner part. The native range of PBT is along the coast of eastern Australia from Sydney northward. It is native also in New Caledonia, Papua, New Guinea, and Irian Jaya. PBT has high adaptability and grows well in poor soil, dry and submerged environments, swampy ground and on creek banks, and even on hillsides if ground water remains close to the surface. In its native habitat, PBT grows to 82 ft tall and is typically found in almost pure stands or with a few associates, such as Casuarina glauca, Eucalyptus robusta, and E. tereticornis. And in the continental United States, PBT is naturalized on a significant scale in Florida and plantations in Hawaii and California (Geary and Woodall, 1990). The wood is a suitable timber for such uses as pulp and cabinetry; the bark has potential uses as an amendment to plant potting mixes and in packaging and insulation. The entire tree can be used as a biomass fuel (Geiger, 1981). Its leaves and fruits are rich in essential oil (cajuput oil), and has been used as a perfume and a popular remedy (Guenther, 1950). The shedding bark of PBT was used as feedstock for bioethanol production in this study.

Pretreatment of lignocellulose biomass is crucial for achieving effective hydrolysis of substrates since enzymatic hydrolysis of native lignocelluloses produces less than 20% glucose from the cellulose fraction (Zhang and Lynd, 2004). Processing shortages such as long residence time, high energy demand, high cost, and environment pollution exist in current biological, physical, chemical and physicochemical pretreatment methods (Shill et al., 2011). Therefore, the major concern in lignocellulose conversion is overcoming biomass recalcitrance through pretreatment while still maintaining a green and energy efficient process (Lee et al., 2009). Hot compressed water (HCW) for autohydrolysis or hydrothermal processing has attracted considerable attention. The advantages are: corrosion problems are limited, no sludge is generated, reduces the need for neutralization and conditioning chemicals since neither acid nor base is added, simple to implement, capital and operational costs are low, and cellulose is not significantly degraded under normal operating conditions (Liu 2010; Mosier et al., 2005). HCW has been shown to effectively pretreat lignocellulosic biomass by partially hydrolyzing the hemicelluloses and disrupting the lignin and cellulose structures, thus increasing the surface area (Hendriks and Zeeman, 2009). However, HCW under severe conditions may generate inhibitors for enzymatic hydrolysis and fermentation, such as vanilline, syringaldehyde, furfural and 5-hydroxy methyl furfural (HMF) (Carolina et al., 2011). The properties of HCW change with temperature and density (Kruse and Dinjus, 2007). Below the critical temperature or at very high pressures (subcritical condition) the ionic product is up to three orders of magnitude higher than that at ambient conditions, which means that water is an acid/base catalyst precursor (Alenezi et al., 2009). Also the dielectric constant being much higher in the subcritical than in the supercritical region of HCW, hence it favors ionic reaction. This region is used for various synthesis reactions but also for degradation reactions such as biomass liquefaction (Kruse and Dinjus, 2007). Subcritical water (SCW), which is defined as HCW at temperatures between 100 and 374 °C under high pressure, has been used for hydrolysis of lignocellulose biomass (Lu and Saka, 2010).

The production of ethanol from pretreated lignocellulose material can be carried out either in a two-step separate hydrolysis and fermentation (SHF) or in a single stage simultaneous saccharification and fermentation (SSF). The products formed during the hydrolysis step in an SHF process, such as cellobiose and glucose, inhibit the cellulase enzyme as well as the fermenting microorganisms. However, in SSF glucose produced from hydrolysis is simultaneously metabolized by microorganism, thereby alleviating problems caused by product inhibition (Alfani et al., 2000). Moreover, the SSF process has other advantages such as reduced operational costs, lower enzyme requirement and increased productivity (Chen et al., 2007).

Conversion of lignocellulosic materials to monomeric sugars and finally to ethanol must be performed at low cost, while still achieving high yield. Hence the type of pretreatment and its aftermath on the overall process are important (Mosier et al., 2005). The two important variables affecting the economic features of bioethanol manufacturing are solid and enzyme loadings. A threshold of economical profitable bio-ethanol production, which is 4–5 volume percent in a fermentation broth (Manzanares et al., 2011), demands the utilization of media containing an initial solid loading of at least 0.15 g mL<sup>-1</sup> (on dry basis). However, high solid loading creates an environment in which practically no free water exists in the pretreated material which may result in limited cellulose conversion in enzymatic hydrolysis or in SSF, owing to mass transfer limitation (Romaní et al., 2012).

The objective of this work was production of bioethanol, which will meet an economic profitable concentration limit, from SCW pretreated PBT shedding bark. In this study a 3<sup>3</sup> factorial design was implemented to investigated the effects of SCW pretreatment; solid loading and enzyme loading which are believed to play important roles in selected variables (ethanol concentration, ethanol yield, volumetric yield and glucan solubilization) in SSF.

## 2. Methods

## 2.1. Raw material

Shedding bark of PBT was collected from experimental farm of National Taiwan University, Da'an District, Taipei, Taiwan. The location of the farm is N 25 00'59.40"; E 121 32'25.1". The air dried bark was milled to pass 8 mm screen, and stored in a dessicator before use.

#### 2.2. Pretreatment

There are three main parts in the equipment for pretreatment: reactor, heater and control devices. The reactor is made of stainless steel with a total inner volume of about 200 mL. It is 25 mm thick and can withstand an estimated maximum operation pressure of 100 MPa. The reactor is equipped with a thermocouple and a pressure gage. The process was run under batch mode with magnetic stirring (50 rpm). For SCW pretreatment, nitrogen gas (99.9% purity) purchased from Dong-Xing Company (Taiwan) was used to maintain constant pressure (20 bar) in the reactor. Dried and milled bark (10 g) and deionized (DI) water (100 mL) was put in the reactor. The suspension was heated to the desired temperature (120–180 °C) and kept at that temperature for a predetermined time (15, 30 or 60 min). The subcritical condition was terminated by venting vapor in the reactor. The reactor was then cooled to room temperature and the slurry collected from the reactor was filtered. The filtrate was analyzed for its monomeric sugar (glucose, xylose and galactose) and inhibitors (5-hydroxymethylfurfural, furfural and phenols) contents. The collected solid was washed with DI water and kept at 4 °C.

The extent of SCW treatment can be expressed in terms of severity ( $S_0$ ), defined as the logarithm of the severity factor  $R_0$  (Romaní et al., 2010), which was calculated using the expression:

$$S_{0} = \log R_{0} = \log[R_{0} heating + R_{0} cooling]$$
$$= \log \left[ \int_{0}^{t_{max}} \frac{T_{(t)} - T_{Ref}}{\omega} \cdot d_{t} + \int_{t_{max}}^{t_{F}} \frac{T'_{(t)} - T_{Ref}}{\omega} \cdot d_{t} \right]$$
(1)

## 2.3. Chemical composition analysis

Compositions of carbohydrates and lignin of SCW treated and untreated shedding barks were determined using the standard NREL method as described by Sluiter et al. (2011). In brief the sample (300 mg) was treated with  $H_2SO_4$  (3 mL, 72%) in a water bath (30 °C) and incubated for 1 h followed by diluting the acid to 4% by adding 84 mL DI water, autoclaved for 1 h at 121 °C. The hydrolysis solution was vacuum filtered using filtering crucible. Acid insoluble lignin in the residue was determined after ashing in ramping furnace for 24 h. Acid soluble lignin and the major structural components (glucan, xylan, and galactan) were analyzed from the hydrolysis filtrate. The liquid phase was passed through a  $0.22 \ \mu m$  PVDF syringe filter (Testhigh), then analyzed for monomeric glucose, xylose and galactose using a HPLC (Jasco, Japan) equipped with a Jasco 830-RI Intelligent RI detector and a Cosmosil sugar-D column (4.6 mm I.D.  $\times$  250 mm). Acetonitrile: water (80:20 v/v) was used as the mobile phase at a flow rate of 1.0 mL min<sup>-1</sup>. Similarly sugars in the prehydrolysate (pretreatment liquor) and SSF product were analyzed using the same HPLC system.

The Liquid fraction was autoclaved with 4% sulfuric acid for 1 h at 121 °C to break down oligomeric sugars into monomeric ones. Sugar standards with known concentrations were also autoclaved for the same time and at the same acid concentration to calibrate hydrolysis loss factors. The total amount of oligomeric sugars in the liquid sample was then calculated as:

determined by gas chromatography (GC-14B, Shimadzu, Japan) with a flame ionization detector. Other conditions of operation were: Nitrogen as the mobile phase (30 mL min<sup>-1</sup>), column temperature 40 °C, injector temperature 200 °C, and detector temperature 250 °C. Injection volume was 1  $\mu$ L. The concentration of ethanol was calculated based on elution time and peak areas of known concentration of ethanol. Separations were carried out on a stabilwax<sup>®</sup> – DA (fused silica, polar phase; crossbond<sup>®</sup> carbowax<sup>®</sup> polyethylene glycol) column.

## 2.4. Microorganism, medium and yeast cultivation

The fermenting yeast used in this study was industrial strain Ethanol Red<sup>®</sup> Saccharomyces cerevisiae. Inoculums were prepared by selecting a single colony from YPD culture plates and inoculating into YPD broth medium. The media consisted of  $10 \text{ g L}^{-1}$  glucose,  $10 \text{ g L}^{-1}$  peptone,  $5 \text{ g L}^{-1}$  yeast extract,  $2 \text{ g L}^{-1}$  KH<sub>2</sub>PO<sub>4</sub>, and  $1 \text{ g L}^{-1}$  MgSO<sub>4</sub> at pH 4.8. After incubation at 35 °C for 24 h, the optical density (OD 660) reading of the seed culture reached between 1.5 and 2.0.

## 2.5. Experimental design

A  $3^3$  factorial experimental design with a total of 18 experiments was implemented as shown in Table 4 to investigate the responses (maximum ethanol concentration,  $E_{max}$ ; maximum ethanol yield,  $EC_{max}$ ; maximum ethanol volumetric productivity,  $Q_p$  max and glucose solubility,  $Glu_s$ ) to the three process factors at three levels ( $S_o$  at 1.37, 1.92 and 2.37; solid loading at 0.10 g mL<sup>-1</sup>, 0.15 g mL<sup>-1</sup> and 0.25 g mL<sup>-1</sup>; enzyme loading at 4, 10 and 16 FPU g<sup>-1</sup> glucan) during SSF of PBT shedding bark. The three levels of  $S_o$  ( $x_1$ ), solid loading ( $x_2$ ) and enzyme loading ( $x_3$ ) were represented by -1, 0 and 1 for low, center and high levels respectively (Table 4). Upon completion of all experiments the regression analysis of experimental data was performed in Minitab 16 software to corre-

$\left[ Oligomeric sugar(g L^{-1}) \right] = \left[ Total sugars(g L^{-1}) in the hydrolysate corrected for degrada$	ation]
$-\left[Monomeric\ sugarig( {f g} {f L}^{-1}ig) {f in\ the\ hydrolysate\ liquid\ before\ a} ight.$	autoclaving (2)

The concentrations of 5-hydroxymethylfurfural (HMF) and furfural in the prehydrolysates were analyzed by HPLC (Jasco, Japan) equipped with a PU-2089 pump, a degasser, an UV-2077 detector and a Luna C-18 column (5  $\mu$ m particle size, 250  $\times$  4.6 mm, Phenomenex, USA). The column temperature was 25 °C, the mobile phase was acetonitrile: water: acetic acid (11:88:1 v/v/v) at a flow rate of 1 mL min<sup>-1</sup>. The injection volume was 20  $\mu$ L and absorption wavelength was 276 nm. The same HPLC for the analysis of phenolic compounds was used with the mobile phase consisted of solvent A (water: acetic acid = 100:1, v/v) and solvent B (methanol: acetonitrile: acetic acid = 75:25:1, v/v/v) at a flow rate of 1 mL min<sup>-1</sup>. A gradient elution was used as follows: 0–2 min. from 0 to 5% solvent B; 2 to 10 min, from 5 to 25% solvent B; 10 to 20 min, from 25% to 40% solvent B; 20 to 30 min, from 40% to 50% solvent B; 30 to 40 min, from 50% to 100% solvent B; 40 to 45 min, 100% solvent B; 44 to 55 min, 100 to 5% solvent B. UV detection was performed at 280 nm. Under these conditions it allowed the simultaneous detection of hydroxybenzoic and hydroxycinnamic acids. Phenolic compounds in the samples were identified by comparing their relative retention times and UV spectra with those of authentic standards. In the same way, the concentrations of sugars, furfural and HMF were calculated by using calibration curves obtained from standards. Ethanol content was late the experimental data, to determine the coefficients in the model and the significance of the coefficients. The established polynomial equations were used to plot 3-D surfaces and 2-D contours in Minitab to visualize individual and interactive effects of the process factors on the response variables within their predefined ranges.

#### 2.6. SSF of SCW treated solids

SSF was carried out in a 250 mL Erlenmeyer flask equipped with a bubble trap to maintain anaerobic condition in an orbital shaker (150 rpm, 37 °C) for 120 h. The fermentation flask contained 10 mL nutrient solution (containing 10 g L<sup>-1</sup> peptone and 5 g L<sup>-1</sup> yeast extract), mixed with the desired solid and enzyme loadings, 5 mL sodium citrate buffer at pH 4.8, and 10 mL inoculums. The total volume of working slurry was 100 mL. Sample (1 mL) was withdrawn from SSF medium at preset times (0, 3, 6, 12, 24, 48, 72, 96 and 120 h), centrifuged (16,000g) and analyzed for ethanol and glucose. The enzyme used in this study was "Celluclast<sup>®</sup> 1.5 L" cellulases (from *Trichoderma reesei*) purchased from Sigma Aldrich Co. (St. Louis, USA). Cellulase activity was determined using the Filter Paper assay. Ethanol yield (% cellulose conversion) was calculated as: I.N. Ahmed et al./Bioresource Technology 136 (2013) 213-221

$$\% Cellulose \ conversion = \frac{[EtOH]_f - [EtOH]_i}{0.51(f[biomass]1.111)} \times 100\%$$
(3)

Where

 $[\mbox{EtOH}]_{o}\mbox{:}\mbox{Ethanol concentration at the beginning of fermentation (g <math display="inline">L^{-1})$  which is zero

[Biomass]: Dry biomass concentration at the beginning of fermentation (g  $L^{-1}$ )*f*: Cellulose fraction of dry biomass (g  $g^{-1}$ )

0.51: Conversion factor for glucose to ethanol based on stoichiometric biochemistry of yeast

1.111: Converts cellulose to equivalent glucose

## 3. Results and discussion

#### 3.1. SCW pretreatment of PBT shedding bark

In biorefinery based on lignocellulosic materials, which has sugars as intermediates, it is necessary to break down the feedstock's structure and obtain sugars from cellulose and hemicellulose. Hence pretreatment is needed to prepare the feedstock in order to improve conversion of sugars (Carolina et al., 2011). Moreover, in order to obtain high ethanol concentration in fermentation, a high cellulose concentration in the medium is required. Removal of non-cellulose components by pretreatment is beneficial to increasing in cellulose content. HCW mainly solubilize hemicellulose under controlled pH; hence it allows better accessibility of cellulose and to avoid the formation of inhibitors (Hendriks and Zeeman, 2009). The ionic product of HCW at subcritical condition is up to three orders of magnitude higher than that at ambient conditions, which means that using subcritical water (SCW) is capable of achieving the same results as employing either acidic or alkaline catalysts (Alenezi et al., 2009). The hydrolysis of Japanese beech (Fagus crenata) by batch and semi-flow SCW at 170-290 °C demonstrated an increased production of total saccharides with temperature for both batch and semi-flow hot-compressed water treatments (Lu and Saka, 2010). The PBT shedding bark was pretreated with SCW at mild temperature (120-180 °C) for the purpose of extracting xylan and obtaining high glucan concentration in the biomass to apply for simultaneous saccharification and fermentation. Table 1 shows the lists of the range of temperature and residence time and the calculated severity (S<sub>o</sub>) of each pretreatment. The value of S<sub>o</sub> ranges from 0.46 to 2.59. The yield of xylose increased with severity till it reach the peak at a  $S_0$  of 2.37 (Fig. 1A). However, further increase of severity ( $S_0 > 2.37$ ) resulted in a decrease of xylose yield, presumably due to degradation. At a S<sub>o</sub> of 2.25, where xylose yield is close to its peak value, significant glucan depolymerization was evident. Glucose release kept increasing with increasing So. A notable difference between solubilization of the xylan and glucan fractions was the fact that the latter did not reach its potential maximum under the study conditions (Fig. 1A), hence SCW pretreatment of PBT primarily affords xylan extraction and as a result the solid residue became rich in glucan. In pretreatment, it has been shown that cellulose degradation was more difficult than hemicellulose under the same conditions due to their different structures, but the trends of hemicellulose and cellulose were similar (Carolina et al., 2011).



**Fig. 1.** Compositions of sugars (A) and inhibitors (B) as function of severity,  $S_o$ , in PBT shedding bark prehydrolysate after SCW water pretreatment.

During pretreatments, various inhibitors may be formed, such as phenolics, furfural and HMF. These inhibitors originate from the release and subsequent degradation of carbohydrate and lignin. Formation of these compounds is directly proportional to pretreatment severity (Hendriks and Zeeman, 2009). In Fig. 1B, the formation of furfural and HMF, the two major degradation products of pentose and hexose sugars, is presented. Pretreatment conditions which increased low level accumulation of furfural (Fig. 1B) strongly correlated to conditions associated with the progression of xylose loss (Fig. 1A). In contrast, the appearance of low level of HMF does not appear to correlate to glucose loss during pretreatment but presumably resulted from the degradation of minor hexose sugars associated with the hemicelluloses fraction. In general the milder temperature (120-180 °C) of SCW pretreatment used in this study requires relatively lower energy consumption and prevents extensive xylose and glucose degradation. In addition, since no chemicals is required making SCW pretreatment an environmentally benign and economical approach. Based on the pretreatment findings, three  $S_0$  values (1.81, 1.92 and 2.37), which can extract hemicellulose with very low accumulation of inhibitors and high glucan recovery, were selected for the subsequent study in this work.

#### Table 1

SCW pretreatment conditions and the corresponding severity value for PBT shedding bark.

Pretreatment No.	1	2	3	4	5	6	7	8	9	10	11	12
Temperature (°C)	120	120	120	140	140	140	160	160	160	180	180	180
Time (min)	15	30	60	15	30	60	15	30	60	15	30	60
Severity, S <sub>o</sub>	0.46	1.37	1.81	1.63	1.92	2.23	1.97	2.19	2.44	2.18	2.37	2.59

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#### Table 2

Compositions of SCW prehydrolysate and solid residue from PBT shedding bark (0.1 g  $\rm mL^{-1}$  solid load).

Composition of Prehydrolysate (g $L^{-1}$ )	S <sub>o</sub> 1.81	S <sub>o</sub> 1.92	S <sub>o</sub> 2.37
Xylose	0.24	3.37	8.63
Glucose	ND <sup>b</sup>	ND	4.5
Galactose	ND	0.12	0.44
Olig-xylose	0.92	4.5	4.2
Olig-glucose	ND	0.42	1.1
Furfural	ND	0.0001	0.0064
HMF	ND	ND	0.001
3,4 Dihydro cinnamic acid	ND	0.0034	0.01
p-coumaric acid	ND	0.0012	0.008
Ferulic acid	ND	0.00012	0.008
Composition of solid Residue (wt.%)			
Xylan	15	9.17	5.4
Glucan	48.2	51	58
Lignin <sup>a</sup>	19	18.1	16.2

<sup>a</sup> Acid soluble lignin (ASL) plus acid insoluble lignin (AIL).

<sup>b</sup> Not detected.

#### 3.2. Composition of PBT and mass balance

The compositions of PBT shedding bark hydrolysis liquor (prehydrolysate) and solid residue are listed in Table 2. At low  $S_0$  (1.81), little xylose (1.08% of xylan) was recovered in the prehydrolysates. This does not imply poor solublization of hemicelluloses: rather the polymer was solubilized primarily in oligomeric forms (5% of xylan). Previous studies on hydrolysis of lignocelluloses biomass using HCW indicated that the solubilized hemicelluloses appeared mainly in oligomeric form at lower severities (Garrote et al., 1999; Lu and Saka, 2010). As So increased the yield of xylose also increased. A maximum of 47% of available xylan was solubilized into monomeric xylose and a total 72% of xylan in oligomeric and monomeric forms at  $S_0 = 2.37$  (Table 2). Hence the yield of xylose was favored at a S<sub>o</sub> of 2.37, which indicates that oligomeric xylose changed into monomeric forms. At the same  $S_0$  (2.37) significant concentration of glucose and galactose were analyzed in prehydrolysate which may resulted from dissolution of the minor hexose sugars associated with the hemicelluloses and amorphous cellulose. Moreover trace amounts phenolic compounds were identified in the prehydrolysates at  $S_o$  of 1.92 and 2.37. At  $S_o$  = 2.37, eight phenolic compounds were identified: gallic, caffeic, 3,4dihydroxycinamic, syringic, ferulic, p-coumaric, p-hydroxybenzoic, and vanillic acids at trace amounts. However only 3,4dihydroxycinamic, p-coumaric and vanillic acids were quantified (Table 2) which showed higher yields than the other phenols. It has been reported that phenolic compounds exist in insoluble

Table 3	;
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Mass balance<sup>a</sup> in native and SCW pretreated PBT.

bound forms with lignin and carbohydrates (hemicellulose and cellulose) in lignocellulosic biomass cell wall (Hendriks and Zeeman, 2009); hence, the existing bonds (ester and/or ether bonds) between these materials can be hydrolyzed by SCW. However further studies are needed to obtain maximum recovery of phenolics from PBT shedding bark. The extraction of xylan and acid soluble lignin enriched glucan content in the residue solid (Table 2).

Under subcritical condition water can act as acid/base catalyst and can be employed in mild hydrolysis reaction (Alenezi et al., 2009), hence this property is believed to favor xylan and lignin solubilization. The major portion of acid insoluble lignin was recovered in the pretreated solids while the acid soluble lignin solublized in the SCW prehydrolysate as shown in the mass balance (Table 3). Thus a weight loss of the residue solid after SCW pretreatment was mainly attributed to xylan and acid soluble lignin dissolution into prehydrolysate as monomeric/ oligomeric xylose, furfural, solubilized lignin and phenolics. In general, the analysis of carbohydrate and non carbohydrate composition of PBT shedding bark showed good mass balance. The calculation incorporates the assumptions of including total extractives into prehydrolysate and the balance of ash. The total mass balance was 99.6% to 95% for native and pretreated biomass from HPLC analysis (Table 3). It is obvious that xylan present in the prehydrolysate is mainly in monomeric and oligomeric xylose forms and furfural. For total lignin mass balance the phenolic compounds released and solubilized and recovered lignins were considered. A small difference in mass balance between the native and pretreated samples confirms the presence of other unknown compounds from the decomposition of PBT in SCW medium which could not be identified in this study.

# 3.3. Effects of SSF parameters on glucan dissolution and ethanol production

Minimizing cellulase dosage is important for cost reduction of cellulosic ethanol production. It is also important to identify the optimum solid loading. At high solid loading the glucose solubility (Glu<sub>s</sub>) was delimited by the low enzyme dosage (4.0 FPU  $g^{-1}$ ) as shown in Table 4, Exp. No 1–3; and Exp. No 7–9. The Glu<sub>s</sub> results clearly indicate that hydrolysis was greatly improved by using high enzyme dosage which positively affected maximum ethanol production (E<sub>max</sub>) and ethanol yield (EC<sub>max</sub>). Similarly high solid loading had a positive effect on Glu<sub>s</sub> and E<sub>max</sub>. However, it had opposite effect on EC<sub>max</sub> (Table 4).

Saccharification of SCW pretreated biomass allowed high glucan dissolution (up to 83%) and significant differences in Glu<sub>s</sub> were observed between the pretreatment severities (1.81, 1.92 and

	Native	S <sub>o</sub> = 1.81		S <sub>o</sub> = 1.92		$S_0 = 2.37$	
		Solid residue	Extraction liquor	Solid residue	Extraction liquor	Solid residue	Extraction liquor
Carbohydrates <sup>b</sup>							
Glucan	49.7	49.15	-	47.6	1.16	41.2	5.75
Xylan	18.4	16.1	1.16	9.7	8.14	5.7	13.2
Galactan	0.42	-	0.32	-	0.4	-	0.32
Non-carbohydrates							
Acid insoluble lignin	18.6	18.6	-	17.5	-	16.7	-
Acid soluble lignin	1.2	1.13	0.11	0.63	0.74	-	1.16
Ash	1.62	1.6	-	1.4	-	1.16	-
Extractives	9.7	-	9.7	-	9.7	-	9.7
		86.6	11.29	76.8	20.14	64.8	30.13
Overall mass balance	99.6	97.9		96.94		94.9	

<sup>a</sup> Composition of dry native PBT (wt.%)

<sup>b</sup> Total carbohydrate in non solubilized form or solubilized monomeric, oligomeric and/or degraded products (furfural and HMF).

2.37). For instance in Table 4 Exp. No 4 affords a Glu<sub>s</sub> of 25.5 g  $L^{-1}$ (53% of glucan), and Exp. No 10 and Exp. No 13 give a Glu<sub>s</sub> of 28.5 g  $L^{-1}$  (58% of glucan) and 35.2 g  $L^{-1}$  (64% of glucan) respectively. In the same way, Exp No 5, Exp. No 11 and Exp. No 14 give a Glu<sub>s</sub> of 38.4 g  $L^{-1}$  (53% of glucan), 48.8 g  $L^{-1}$  (66.4% of glucan) and 58.9 g  $L^{-1}$  (71.4% of glucan), respectively. Proximity in Glu<sub>s</sub> between the  $S_0$  (1.92 and 2.37) was observed when higher solid loadings was implemented. For instance at 0.25 g mL<sup>-1</sup> solid loading (Exp. No 6 and 9, Table 4) close glucan dissolution (54.7% and 56.9%, respectively) was observed. However, closeness in Glus did not assure comparable  $E_{max}$  in different experiments. This event was a result of mass transfer limitations at high solid loadings and  $S_0$ . As shown in Table 3, there is a clear difference in xylan and lignin recovery between the  $S_{\rm o} \ (1.92 \ \text{and} \ 2.37)$  that resulted in the solubility and the viscosity difference among the slurries. On the other hand Glu<sub>s</sub> from biomass at low S<sub>o</sub> (1.81) was greatly reduced with increasing solid loading. For instance at 0.15 g mL<sup>-1</sup> solid loading (Exp No 5), 53% of glucan solubilization was obtained. However at 0.25 g mL<sup>-1</sup> solid loading (Exp No 6) only 37% of glucan solubility can be achieved. Consequently, S<sub>o</sub> affected the efficiency of enzyme and yeast. In this work SSF preformed with substrates treated at  $S_0 = 2.37$  led to good ethanol production and yield.

The experimental data given in Table 4 were used to develop a full quadratic polynomial regression model (Eqn. 4) to predict the dependent variables y (Glu<sub>s</sub>  $E_{max}$ ,  $EC_{max}$  and  $Q_{p max}$ ) as a function of the three process parameters:  $x_1$  ( $S_0$ , °C),  $x_2$  (solid loading, g mL<sup>-1</sup>)

and  $x_3$  (enzyme loading, FPU g<sup>-1</sup>glucan). In Eqn.4,  $b_o$  is the offset coefficient,  $b_i$  is the linear coefficient,  $b_{ii}$  is the quadratic coefficient and  $b_{ij}$  is the interaction coefficient. The coefficients and the corresponding p-values of the models are listed in Table 5. The fitted models ANOVA results (Table S1 in Supplementary material-1) showed that the R<sup>2</sup> values were at least 0.97, which indicate the aptness of the models to explain responses.

$$Y = bo + \sum_{1}^{i} bx_{i} + \sum_{1}^{i} b_{i}x_{i}^{2} + \sum_{1}^{i} \sum_{2}^{j} b_{ij}x_{ij}$$
(4)

Analysis of the p-values of each term in the models was used to determine the significance levels of the three process parameters and their interactions on responses. As shown in Table 5, linear interactions of independent variables had high significant effect (p < 0.001). Moreover the combined effects of all independent variables significantly contributed to dependent variables. However the square interaction of  $S_o$  ( $b_{11}$ ) on all dependent variables is not significant, this interaction could be removed from the equations without significant effect on the accuracy of the predicted dependent variables. The equations were used to plot response surfaces and their corresponding contours to show Glu<sub>s</sub>,  $E_{max}$ ,  $EC_{max}$  and  $Q_{p max}$  by different levels of the process variables with one variable fixed at center level (Supplementary material-2). Figs. S1-A and B show that there are significant interactions were ob-

Table 4

Operational parameters and re	esults in experimental	design carried out to	optimize the SSF of	of SCW treated PBT	shedding bark
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Exp. No	Independent variables <sup>a</sup>			x <sub>1</sub>	x <sub>2</sub>	X3	Dependent v	ariables <sup>b</sup>		
	S <sub>0</sub>	EL (FPU)	SL (g mL <sup><math>-1</math></sup> )				Glu <sub>s</sub> (g/L)	E <sub>max</sub> (g/L)	EC <sub>max</sub> (g/100g)	$Q_{p max} (g/L/h)$
1	1.81	4	0.10	-1	-1	-1	16.7	4.5	16.5	0.094
2	1.81	4	0.15	-1	-1	0	24.3	6.6	16.1	0.14
3	1.81	4	0.25	-1	$^{-1}$	1	32.9	9.6	14.07	0.20
4	1.81	10	0.10	-1	0	-1	25.5	8.5	31.15	0.18
5	1.81	10	0.15	-1	0	0	38.4	11.3	27.6	0.24
6	1.81	10	0.25	-1	0	1	44.7	13.5	19.8	0.28
7	1.97	4	0.10	0	-1	-1	22.8	6.9	25.04	0.145
8	1.97	4	0.15	0	-1	0	33.7	9.5	22.8	0.19
9	1.97	4	0.25	0	-1	1	42	12.8	18.44	0.27
10	1.97	10	0.10	0	0	-1	28.5	17.7	63.76	0.37
11	1.97	10	0.15	0	0	0	48.8	23.5	56.3	0.33
12	1.97	10	0.25	0	0	1	67	32.2	46.32	0.45
13	2.37	10	0.10	1	0	-1	35.2	25	80.02	0.35
14	2.37	10	0.15	1	0	0	58.9	35.8	76.36	0.5
15	2.37	10	0.25	1	0	1	78.32	54.6	69.9	0.76
16	2.37	16	0.10	1	1	-1	44.3	29.4	93.11	0.41
17	2.37	16	0.15	1	1	0	68.5	43.7	91.25	0.61
18	2.37	16	0.25	1	1	1	103.3	62.3	80.92	0.88

<sup>a</sup>  $S_0$ : severity, SL: solid loading (g mL<sup>-1</sup>), EL: enzyme loading (FPU/g glucan).

<sup>b</sup> Glus: solublized glucose concentration (g/L), E<sub>MAX</sub>: maximum ethanol concentration (g/L), Q<sub>PMAX</sub>: volumetric productivity at E<sub>MAX</sub> (g/L), EC<sub>MAX</sub>: maximum ethanol conversion (g ethanol/100 g potential ethanol).

# Table 5 Regression coefficients and statistical parameters measuring the correlation and significance of the models.

	Glus		Emax		ECmax		Qp max		
	Coefficient	p-value	Coefficient	p-value	Coefficient	p-value	Coefficient	p-value	
bo	52.3851	0.000	23.4710	0.000	50.0404	0.000	0.367131	0.000	
$b_1$	11.2380	0.000	14.1870	0.000	24.6108	0.000	0.159475	0.000	
b <sub>2</sub>	13.7366	0.000	5.820	0.004	11.5537	0.000	0.096166	0.016	
b <sub>3</sub>	16.9957	0.000	8.028	0.000	-5.3937	0.000	0.110698	0.000	
b <sub>11</sub>	0.8583	0.698	3.181	0.120	0.2806	0.864	0.032222	0.449	
b <sub>22</sub>	-1.6183	0.522	-7.544	0.007	-17.7633	0.000	-0.074167	0.144	
b33	-6.4919	0.004	-1.388	0.355	-0.1638	0.897	-0.004813	0.881	
b <sub>12</sub>	3.1667	0.286	8.778	0.006	18.95	0.000	0.079167	0.170	
b <sub>13</sub>	4.9623	0.003	4.532	0.002	-0.098	0.915	0.058275	0.032	
b <sub>23</sub>	6.4943	0.002	3.481	0.021	-2.3364	0.059	0.040496	0.172	

served on E<sub>max</sub> (Fig. S2), EC<sub>max</sub> (Fig. S3) and Q<sub>p max</sub> (Fig. S4). High ethanol concentration was affected by the three process parameters. It can be seen from Fig. S2-A that at 0.15 g  $mL^{-1}$  solid loading,  $S_o \ge 2.3$  and enzyme loading > 12.5 FPU/g glucan should be used to achieve high ethanol concentration. Fig. S2-C shows that at high PBT solid loading, high enzyme loading was required to catalyze the hydrolysis and to achieve high ethanol concentration. In general from Fig. S2-A and B that ethanol concentration higher than 40 g  $L^{-1}$  (economical threshold limit) can be achieved at  $S_o \geqslant$  2.3, enzyme loading  $\geqslant 15~\text{FPU}~\text{g}^{-1}$  glucan, and solid loading  $\geqslant$  $0.15 \text{ g mL}^{-1}$ . The interaction between solid loading and  $\text{EC}_{max}$ shows that solid loading had a decreasing effect on EC<sub>max</sub> (Figs. S3-A and B), as a result of mass transfer limitation. Moreover considering the regression coefficients of  $EC_{max}$  (Table 5), it can be seen the square interaction of solid loading (b<sub>22</sub>) had a negative values and had high significant effects (p < 0.001) on EC<sub>max</sub>.

## 3.4. Time courses of SSF

All SSFs were performed using the washed solid fraction to remove sugars solubilized during pretreatment and potential inhibitors (Fig. 1) that could affect enzymatic hydrolysis and fermentation. In an attempt to obtain ethanol concentration exceeding the economical threshold limit (4–5%, v/v), the experiments were carried out with a substrate loading of 0.15 and 0.25 g mL<sup>-1</sup>. Additionally, experiment with 0.10 g mL<sup>-1</sup> was performed in order to study the effect of substrate loading on ethanol production by *S. cerevisiae*.

Fig. 2 shows the time courses of ethanol and glucose concentrations in SSF with 0.10 g mL<sup>-1</sup>, 0.15 g mL<sup>-1</sup> and 0.25 g mL<sup>-1</sup> solid loadings. In general, glucose concentration increased sharply in the first 24 h of SSF depending on the solid and enzyme loadings. However, the concentration decreased as the SSF progressed which was accompanied by a rapid increase of fermentation product. In the first 12 h, the differences in ethanol concentrations were insignificant in all cases since yeast cells were adapting to the new environment. The delay or lag phase was more obvious and extended up to 24 h when substrate loading was 0.15 or  $0.25 \text{ g mL}^{-1}$ . The lag phase due to the adaptation of yeast to fermentation conditions and its duration is related to solids loading (Hoyer et al., 2009). A longer lag phase in SSF performed at high solid loading of other lignocellulosic materials using inoculums of S. cerevisiae has been reported (Sassner et al., 2006). In most experiments of this work, the highest ethanol concentrations were obtained at 72 h and at high solid loadings (0.25 g mL<sup>-1</sup> and 0.15 g mL<sup>-1</sup>). Maximum concentration (63.2 g  $L^{-1}$ ) was obtained under the following conditions: pretreatment at  $S_0$  = 2.37, SSF for 72 h, 0.25 g mL<sup>-1</sup> solid loading and 16 FPU g<sup>-1</sup> glucan enzyme loading. The volumetric productivity  $(Q_p)$  in the first 24 h of SSF was higher for 0.10 g mL<sup>-1</sup> solid loading (data not shown), owing to favorable kinetics of cellulose hydrolysis in the early stage. At higher solid loadings (0.15 g mL $^{-1}$  and 0.25 g mL<sup>-1</sup>), after 24 h of SSF the slurry become less viscous hence the mass transfer limitation was reduced and  $Q_p$  increased. The volumetric productivity at the highest ethanol concentration (Qp  $_{max}$ ) was analyzed (Table 4) since  $Q_p$  at random time lacks practical interest (Romaní et al., 2012). The variation range determined for  $Q_{pmax}$  was 0.094–0.88 g (L<sup>-1</sup> h<sup>-1</sup>), and it was affected by all process parameters. All SSF carried out using So 1.81 and some of SSF implementing  $S_0$  1.91 (Exp. No 7–10, Table 4) attained  $E_{max}$  in 48 h with  $EC_{max}$  values from 14.07% to 63.8%, while the rest (Exp. No 11-18, Table 4) attained maxima after 72 h of SSF, and the  $EC_{max}$  varied from 56.3% to 93.1%. Longer fermentation times resulted in higher conversion.

For comparison, Table 6 lists experimental results obtained in this work and data reported in related studies. At higher solid loadings (0.15 and 0.25 g mL<sup>-1</sup>), the SSF reaction matrix became



**Fig. 2.** Time courses of ethanol (S<sub>0</sub> 2.37, 16 FPU: (■); S<sub>0</sub> 2.37, 10 FPU: (▼); S<sub>0</sub> 1.97, 10 FPU (●); S<sub>0</sub> 1.97, 4 FPU: (♦)) and glucose (S<sub>0</sub> 2.37, 16 FPU: (□); S<sub>0</sub> 2.37, 10 FPU: ( $\bigcirc$ ); S<sub>0</sub> 1.97, 10 FPU: ( $\bigcirc$ ); S<sub>0</sub> 1.97, 4 FPU: (◊)) concentration in SSF experiments at solid loadings: 0.10 g mL<sup>-1</sup> (A); 0.15 g mL<sup>-1</sup> (B); 0.25 g mL<sup>-1</sup> (C).

highly viscous with unequal distribution of slurry resulting in ethanol yield less than the theoretical value. Pessani et al. (2011) reported that switchgrass treated with hydrothermolysis at 200 °C gave 22.5 g L<sup>-1</sup> ethanol concentration and 86% ethanol yield at 0.08 g mL<sup>-1</sup> solid loading, while at 0.12 g mL<sup>-1</sup> solid loading ethanol concentration increased to 32 g L<sup>-1</sup> but ethanol yield decreased to 82%. Steam exploded (205 °C) and washed corn stover at high enzyme loading (20 FPU g<sup>-1</sup>) produced an ethanol concentration of 41 g L<sup>-1</sup> and 49 g L<sup>-1</sup> at 0.25 g mL<sup>-1</sup> and 0.30 g mL<sup>-1</sup> solid loadings, respectively with high yield (92–94%) (Lu et al., 2010). However, using similar pretreatment and biomass loading (0.25 and 0.30 g mL<sup>-1</sup>), low ethanol yield (64.8% and 52.1%) and ethanol production (39.3 g L<sup>-1</sup> and 40.6 g L<sup>-1</sup>) were reported by Zhang et al. (2010) when an enzyme activity of 13.6 FPU g<sup>-1</sup> was used. Using

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## Table 6

Experimental data obtained in this work and results reported in related studies.

SwitchgrassHydrothermolysis at 200 °C for 10 min0.0858°22.586Pessani et al., 201SwitchgrassHydrothermolysis at 200 °C, 10 min0.1258°3282Pessani et al., 201Corn stoverSteam explosion at 200 °C for 4 min0.3013.6 <sup>f</sup> 40.652.1Zhang et al., (2010)Corn stoverSteam explosion at 200 °C for 4 min0.2513.639.364.8Zhang et al., (2010)	
SwitchgrassHydrothermolysis at 200 °C for 10 min0.0858°22.586Pessani et al., 201SwitchgrassHydrothermolysis at 200 °C, 10 min0.1258°3282Pessani et al., 201Corn stoverSteam explosion at 200 °C for 4 min0.3013.6°40.652.1Zhang et al., (2010)Corn stoverSteam explosion at 200 °C for 4 min0.2513.639.364.8Zhang et al., (2010)	
SwitchgrassHydrothermolysis at 200 °C, 10 min0.1258°3282Pessani et al., 201Corn stoverSteam explosion at 200 °C for 4 min0.3013.6°40.652.1Zhang et al. (2010)Corn stoverSteam explosion at 200 °C for 4 min0.2513.639.364.8Zhang et al. (2010)	11
Corn stoverSteam explosion at 200 °C for 4 min0.3013.6 <sup>f</sup> 40.652.1Zhang et al. (2010)Corn stoverSteam explosion at 200 °C for 4 min0.2513.639.364.8Zhang et al. (2010)	11
Corn stover         Steam explosion at 200 °C for 4 min         0.25         13.6         39.3         64.8         Zhang et al. (2010)	0)
	0)
Corn stover         Steam explosion at 205 °C for 6 min         0.25         20         41         92         Lu et al., 2010	
Corn stover         Steam explosion at 205 °C for 6 min         0.30         20         49.5         94         Lu et al., 2010	
Barley strawSteam explosion at 210 °C for 5 min0.15-g26-García-Aparicio	
et al., 2011	
Barley straw Steam explosion at 210 °C for 5 min 0.10 - 19.4 - García-Aparicio	
et al., 2011	
Corn cobs Conc. formic acid hydrolysis at 60 °C for 6 h followed by 15% aq. ammonia 0.19 30 62.7 77.3 Zhang et al. (2010	0)
delignification at 60 °C for 12 h	
Corn cobs         2 wt.% sulfuric acid at 121 °C for 45 min followed by 2 wt.% sodium         0.25         22.8         84.7         79         Zhang et al. (2010)	0)
hydroxide at 80 °C for 6 h.	
Coffee residuePopping pretreatment at a pressure of 1.47 MPa for 10 min0.102.23 <sup>s</sup> 15.387.2Choi et al., 2012	
Oil palm empty fruit         21% ammonia at 60 °C for 12 h         0.05         60         18.6         65.6         Jung et al., 2012	
bunches	
Olive tree pruning         1% H <sub>2</sub> SO <sub>4</sub> hydrolysis at 180 °C for 10 min         0.225         15         24.9         38         Manzanares et al	1.,
2011	
Eucalyptus globulus Autohydrolysis at 230 °C 0.10 6.2 26.7 77.7 Romaní et al. 201	10
Eucalyptus globulus Autohydrolysis at 230 °C 0.25 16 67.4 91.1 Romani et al., 20	/12
Eucalyptus globulusDelignification with 60% ethanol0.102035-Muñoz et al., 201	11
Eucalyptus grandis1.2% H2SO4 hydrolysis at 121 °C for 45 min0.203028.7-Silva et al., 2011	
Paper bark treeSubcritical water at 180 °C for 30 min0.151643.791.3This work	
Paper bark treeSubcritical water at 180 °C for 30 min0.251054.670This work	
Paper bark treeSubcritical water at 180 °C for 30 min0.251662.381This work	

<sup>a</sup> SL: solid loading.
 <sup>b</sup> EL: enzyme loading.

<sup>c</sup> E<sub>max</sub>: maximum ethanol concentration.

<sup>d</sup> EC<sub>max</sub>: maximum ethanol yield.

<sup>e</sup> 0.7 mL/g glucan of cellulase with activity 82.2 FPU/mL.

<sup>f</sup> 18.3 mg protein/ g glucan with activity 0.122 FPU/mg protein.

<sup>g</sup> not reported.

Eucalyptus grandis pretreated with acids, Silva et al. (2011) obtained an ethanol concentration of 28.7 g L<sup>-1</sup> operating under  $0.20 \text{ g mL}^{-1}$  solid loading, but high enzyme loading (30 FPU g<sup>-1</sup>) was required. Muñoz et al. (2011) employed organosolv-delignified Eucalyptus wood to obtain substrates containing 84% cellulose, which was processed by SSF  $(0.10 \text{ g mL}^{-1} \text{ solid} \text{ and}$ 20 FPU  $g^{-1}$  enzyme loadings) to reach ethanol concentration up to 35 g  $L^{-1}$ , but some cellulose was lost in the pretreatment step. Zhang et al. (2010) reported that using a pretreated corncob first with acidic solutions and then under alkaline conditions, increasing the solids loading from 0.075 g  $mL^{-1}$  up to 0.19 g  $mL^{-1}$  caused a decrease of ethanol yield from 90% to 77%. Similar result was reported when using hardwood pretreated with liquid hot water or by acid prehydrolysis, ethanol yield was significantly reduced as solid loading was increased from 0.09 g mL<sup>-1</sup> to 0.23 g mL<sup>-1</sup> (Manzanares et al., 2011). Romaní et al. (2012) reported high ethanol yield (91.1%) and high ethanol concentration (67.4 g  $L^{-1}$ ) using  $0.25 \text{ g mL}^{-1}$  Eucalyptus globules after autohydrolysis treatment at high temperature (230 °C).

This work implemented the environmentally friendly SCW pretreatment at mild temperature (180 °C) without utilization of chemicals. High ethanol concentration (63.2 g L<sup>-1</sup>) with high ethanol yield (80.9%) was obtained at 0.25 g mL<sup>-1</sup> solid loading. Simultaneous high ethanol concentration (43.7 g L<sup>-1</sup>) and yield (91.25%) can be obtained at 0.15 g mL<sup>-1</sup> solid loading. These values meet the requirements for economically viable production of ethanol from PBT lignocelluloses on an industrial scale.

## 4. Conclusion

The results of this study showed that *Melaleuca leucadendron* shedding bark has high glucan component and confirmed that SCW pretreated PBT biomass implemented in SSF mode is suitable

for economically feasible production of bioethanol. Optimization of the process resulting in the identification of operational conditions (pretreatment temperature 180 °C; high solid loading 0.15–0.25 g mL<sup>-1</sup> and enzyme loading 16 FPU g<sup>-1</sup> glucan) enabling simultaneously high ethanol concentration (43.7 g L<sup>-1</sup> to 63.2 g L<sup>-1</sup>) and ethanol yield (91.25–80.9%).

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## Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at http://dx.doi.org/10.1016/j.biortech.2013.02. 097.

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